

COMPLIANCE

Business proximity  
with a sense  
of proportion.



## Compliance

Already the mere suspicion of unlawful behaviour – or “non-compliance” – can significantly impact the reputation and the balance sheet of a company regardless of its size, legal format or industry sector.

With GSK Stockmann by your side, you are capitalising on the international experience of compliance experts who are uniquely skilled in combining state-of-the-art advice with practical implementation know-how. We are able to offer you independent support and comprehensive solutions in critical business situations for the entire CMS spectrum. We advise companies in the private and the public sector as well as their executives and directors while working hand in hand with our clients’ in-house experts.

Our competencies comprise:

### Compliance Function

- Designing compliance organisations and functions
- Implementation of regulatory requirements
- Structuring of corporate standard processes

### Compliance Management System – Prevent

- Compliance risk analyses
- Compliance trainings
- Internal and external compliance communication

### Compliance Management System – Detect

- Internal investigations
- Damage claim assistance
- Regulatory audits and investigation proceedings
- Support in all matters regarding tax compliance and during related investigation proceedings

### Compliance Management System – Respond

- Company-specific sanctions catalogues
- Legal support for individual disciplinary proceedings
- Assistance in cooperating and communicating with German and international law enforcement agencies, supervisory and tax authorities

### Select References

- Affimed
- Lupin
- Vetter
- VONOVIA
- International law enforcement agency



“Ever since we began preparations for our IPO at NASDAQ in 2014, Eric Mayer has been taking care of all our corporate compliance matters, regularly pointing out the dos and don’ts in international compliance. His experience is particularly valuable to us, as it results in fast solutions that are adequate for our business model and sustainable.”

Olga Kaus, Head of Compliance, Affimed GmbH, Heidelberg



### Find the right experts.



Scan the QR code and get to know the lawyers of our “Compliance” team.

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